

COMPANY INFORMATION:

Company Name:

Newcastle Aviation Partners, LLC dba: Newcastle Aviation

Address:

3201 County Road 42 W., Unit 104
Burnsville, MN 55306

Phone: 952-223-0317

Facsimile: 952-223-4470

AOG phone number: 952-223-0317, follow prompts

Website: www.newcastleaviation.com

Founded: February 2006

Type of organization: Limited Liability Company (LLC)

Federal Tax-ID: 20-4438664

Duns No.: 805342156

Federal Supply Code: 4XHA2

PRODUCTS AND SERVICES:

Newcastle Aviation is a global leader in aftermarket parts supply and support to the aviation and aerospace industry. Based in the United States, Newcastle Aviation specializes in the sale, lease, exchange and procurement of commercial, regional, and general aviation jet, turboprop and helicopter aircraft, engines, landing gear, avionics, hydraulic and pneumatic components and replacement parts. Newcastle Aviation also acquires and re-markets on behalf of its customers and clients all varieties of aviation and aerospace assets.

QUALITY MANAGEMENT CERTIFICATIONS:

ISO 9001:2015 & AS 9120-B

Certificate Number: 1014065

Certificate Expiration: 5/3/2021

OASIS weblink: <https://www.iaqg.org/oasis>

FAA AC 00-56B

Certification Number: Pending

QUALITY STANDARDS COMPLIANCE:

Newcastle Aviation recognizes the critical role that quality plays in our industry. We are committed to meeting the quality requirements of our customers. Our Quality Management System is certified to the globally recognized quality standards of ISO 9001:2015 and AS9120-B. Newcastle Aviation's Quality Management System meets the requirements of the following:

NEWCASTLE AVIATION QUALITY MANAGEMENT SYSTEM (QMS) OVERVIEW

| 1. * General | Y | N | N/A | COMMENT |
|--|-------------------------------------|--------------------------|--------------------------|---------|
| A. Is there an established quality management system and a quality manual? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Is the quality manual available to appropriate personnel? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Is the quality management system documentation kept current and made readily available to employees, customers, auditors and designee(s)? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| D. Does the quality manual include a detailed description of: | | | | |

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|---|-------------------------------------|--------------------------|--------------------------|--|
| • The organization and relationship of top management and quality management to the rest of the organization? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • The assignment of personnel by title, for specific functions within the quality system? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • The revision control system for the quality system documentation? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Record keeping system? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Training requirements and records? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Shelf life control system? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Control of non-conforming material? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Receiving inspection procedures? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Test and inspection equipment calibration program? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Storage facilities and specifications? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Part identification system? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Environmental controls? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Inspection stamp control? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Self-audit/evaluation program? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 2. Self-Audit/Evaluation Program | Y | N | N/A | COMMENT |
|--|-------------------------------------|--------------------------|--------------------------|---------|
| A. Is there an established documented self-audit / evaluation process, which identifies who within the company is responsible for conducting self-audits, the frequency of audits, audit documentation and corrective actions? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 3. * Facilities | Y | N | N/A | COMMENT |
|---|-------------------------------------|--------------------------|--------------------------|---------|
| A. Do storage areas provide: | | | | |
| • Adequate space and appropriate shelves and / or racks to prevent damage or mishandling? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Adequate security from unauthorized access? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Segregation of aircraft from non-aircraft functions? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Segregation of serviceable from non-serviceable parts? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 4. * Training and Authorized Personnel | Y | N | N/A | COMMENT |
|--|-------------------------------------|--------------------------|--------------------------|---------|
| A. Are personnel who perform inspections, and the shipping and receiving functions properly trained? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Are inspection personnel properly trained and authorized to perform their functions? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Are both formal classroom and on-the-job training documented and maintained? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| D. Is a roster of personnel authorized to perform inspection functions maintained? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| E. Is there a training program in place for personnel involved in procurement, receiving inspection, shipping inspection and material control, that addresses unapproved parts, and counterfeit parts and materials? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 5. * Procurement | Y | N | N/A | COMMENT |
|---|-------------------------------------|--------------------------|--------------------------|---------|
| A. Does the quality management system assure that parts procured conform to the customer's documentation requirements? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Does the quality system assure that parts conform to the customer's purchase request and that deviations are disclosed and approved by the customer? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Does the system require the distributor/dealer to maintain a list of approved suppliers and a quality history for each source? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| D. Does the quality system assure that parts procured for sale: | | | | |
| <ul style="list-style-type: none"> Have not been subjected to conditions of extreme stress, heat or environment, and if so, are identified and disclosed to the buyer? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <ul style="list-style-type: none"> That all represented Airworthiness Directives (AD's) which have been accomplished are documented? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <ul style="list-style-type: none"> Have proper serial number or lot/batch trace to an OEM, repair agency or airline/operator? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| <ul style="list-style-type: none"> Have appropriate signed and dated return to service documentation if they are new, repaired, overhauled or modified? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 6. * Receiving Inspection | Y | N | N/A | COMMENT |
|---|-------------------------------------|--------------------------|--------------------------|---------|
| A. Does the quality system provide for a visual inspection of all items received and accompanying documentation? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Is there a procedure for reporting unapproved parts in accordance with the procedure P-720 for Competence and awareness? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Is there an accountability system in place to control stamp issuance, usage and replacement? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 7. * Measurement, Test, ESD Equipment and Tooling | Y | N | N/A | COMMENT |
|--|-------------------------------------|--------------------------|--------------------------|---------|
| A. Is there an effective calibration program in place for calibration required measurement, test, ESD equipment and tooling? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| 8. * Material Control | Y | N | N/A | COMMENT |
|---|-------------------------------------|--------------------------|--------------------------|---------|
| A. Is material handled in an appropriate manner and is the material protected from damage & deterioration? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Is batch/lot control maintained for parts so identified by the manufacturer? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Is there a system in place for recall control which ensures that parts shipped can be traced and recalled? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| D. Whenever practical, is material stored & delivered in the manufacturer's original packaging? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| E. Does the system specify material control requirements for material subject to damage by electrostatic discharge? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| F. Does the system assure that serviceable parts/components are adequately protected against the environment? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| G. Does the system assure that no part number ambiguity exists? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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|--|-------------------------------------|--------------------------|--------------------------|--|
| H. Does a closed loop system exist to implement corrective action following detection of substandard or nonconforming parts? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| I. Are aircraft parts being segregated from non-aircraft parts? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| J. Is there a documented procedure in place to mutilate scrapped parts to prevent the possibility of their being restored and returned to service? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| K. Are suspected unapproved parts reported according to FAA AC 21-29, Detecting and Reporting Suspected Unapproved Parts? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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|---|-------------------------------------|--------------------------|--------------------------|---------|
| 9. Shelf Life Control | Y | N | N/A | COMMENT |
| A. Does the distributor have a system for identifying and controlling shelf life limited parts? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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|--|-------------------------------------|--------------------------|--------------------------|---------|
| 10. * Certification and Release of Materials | Y | N | N/A | COMMENT |
| A. Does the system call for providing the customer with appropriate documentation? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Does the system provide for the issuance of a certified statement disclosing that the material or parts were or were not: | | | | |
| • Subjected to conditions of extreme stress, heat or environment? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| • Obtained from any government or military services? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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| 11. * Shipping | Y | N | N/A | COMMENT |
| A. Does the quality system require shipments in ATA-300 containers or equivalent as appropriate for the unit being shipped, or as specified by the customer? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Does the quality system provide for a visual inspection of all items and accompanying documentation prior to shipping? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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|--|-------------------------------------|--------------------------|--------------------------|---------|
| 12. * Records | Y | N | N/A | COMMENT |
| A. Does the record system require record retention for at least 7 years from the date of sale to the customer? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| B. Does the system require all life-limited parts have records confirming current life limited status? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| C. Are records protected against damage, alteration, deterioration and loss? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

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|---|-------------------------------------|--------------------------|--------------------------|---------|
| Technical Data Control | Y | N | N/A | COMMENT |
| A. Does the quality system provide for maintaining technical data in a manner which ensures such data is up-to-date and accessible? | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |